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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/005,656 12/04/2001 Anthony C. Mulligan 03248.00040

22908 BANNER & WITCOFF, LTD. TEN SOUTH WACKER DRIVE SUITE 3000 CHICAGO, IL 60606 CONFIRMATION NO. 7662
FORMALITIES LETTER
\*OC000000007278390\*

Date Mailed: 01/08/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/14/2002 HMRZII 00000044 190733

FC:205

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box 

+ Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<u> </u>		Application Number	10/005,656	
TRANSMITTAL		Filing Date	December 4, 2001	
FORM		First Nam d Inventor	Mulligan	
(to be used for all correspondence after initial filing)		Group Art Unit	1714	
		Examiner Name	Not Yet Assigned	-
Total Number of Pages in This Submission	17	Attorney Docket Number	003248.00040	

			1_					
ENCLOSURES (check all that apply)								
Fee Transmittal	Form	Assignment Papers (for an Application)	After Allowance Communication to Group					
Fee Attached	d	☐ Drawing(s)	Appeal Communication to Board of Appeals and Interferences					
Amendment / Re	sponse	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final		Petition	Proprietary Information					
Affidavits/ded	claration(s)	Petition to Convert to a Provisional Application	Status Letter					
Extension of Time	e Request	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):					
Express Abandon	nment Request	☐ Terminal Disclaimer ☐ Request for Refund	Joint Declaration for Patent Application Certificate of Express Mail Return Postcard					
☐ Information Discl	osure Statement	CD, Number of CD(s)	Notali i ostodia					
Certified Copy of Document(s)	Priority	Remarks						
Response to Miss Incomplete Applie		The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 01-0850. A duplicate of this sheet is enclosed.						
Response to Parts under 3 1.52 or 1.53		Express Mail No. EV 820756294 US						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm or Individual name	Rebecca P. Rokos, Esq. (Registration No. 42,109)							
Signature	Signature Pokes							
Date	March 8, 2002							

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

105

TOTAL AMOUNT OF PAYMENT

## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

	Complete if Known	
Application Number	10/005,656	
Filing Date	December 4, 2001	
First Named Inventor	Mulligan	
Examiner Name	Unassigned	
Group / Art Unit	1714	
Attorney Docket No.	03248.00040	

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)								
			3. ADDITIONAL FEES									
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order					Large Entity		Small Entity					
Deposit Account:						Fee	Fee	Fee	Fee	Eco Docadation	Fee	
i .							Code	(\$)	Code	(\$)	Fee Description	Paid
Depo		40.0700					105	130	205	65	Surcharge - late filing fee or oath	65
Acco Num		19-0733					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Depo	aait		-				139	130	139	130	Non-English specification	
Acco		Banner 8	& Witc	coff. Ltd.			147	2,520	147	2,520	For filing a request for reexamination	
Nam	ne	L	-		<del></del>		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
☑ Charge fee(s) indicated below ☑ Credit any overpayments					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
				during the pende v, except for the			115	110	215	55	Extension for reply within first month	
		indicated b			a fliing iee	,	116	400	216	200	Extension for reply within second	<b></b>
10	000.00			CALCULATION			1				month	
<u> </u>			<u> </u>	ALCOLATION			117	920	217	460	Extension for reply within third month	
1. B Large	BASIC FILE Entity	LING FEE Small En	ntity				118	1,440	218	720	Extension for reply within fourth month	
Fee		Fee Fe		Fee Description	ก		128	1,960	228	980	Extension for reply within fifth month	
Code		Code (\$	-		,	Fee Pald	119	320	219	160	Notice of Appeal	
101		201 37		Utility filing fee		<b></b>	120	320	220	160	Filing a brief in support of an appeal	
106		206 16		Design filing fee	,		121	280	221	140	Request for oral hearing	
107 108		207 25 208 37		Plant filing fee Reissue filing fee	e		138	1,510	138	1,510	Petition to institute a public use proceeding	
114		214 80		Provisional filling	-	$\overline{}$	140	110	240	55	Petition to revive – unavoidable	
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i					ree from below	Fee Paid	122	130	122	130	Petitions to the Commissioner	$\vdash$
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Large	•	Small	Entit	•			146	740	246	370	Filing a submission after final rejection	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Descript	tion		149	740	240	270	(37 CFR § 1.129(a))	
103	18	203	9	Claims in exc	cess of 20		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	1
102	84	202	42	Independent	claims in e	xcess of 3	1					<del></del>
104	280	204	140	Multiple depe	endent clain	n, if not paid	179	740	279	370	Request for Continued Examination (RCE)	1
109	84	209	42	** Reissue ind original paten		claims over	169	900	169	900	Request for expedited examination of a design application	
110	18	210	9	** Reissue cla over original i		cess of 20 and						
İ			s	SUBTOTAL (2)	(\$) 0		Other fe	ee (specify	y)	-		
**or nur	**or number previously paid, if greater; For Reissues, see above						*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 105					

SUBMITTED BY Complete (if applicable)									
Name (Print/Type)	Rebecca P. Rokos	Registration No. Attorney/Agent)	42,109	Telephone	312-715-1000				
Signature	Rebucca f	Rokas		Date	March 8, 2002				

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#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Attorney Docket No. 003248.00040

Application No. 10/005,656

Application No. 10/005,656

Filed: December 4, 2001

For: Methods and Apparatus for Preparation of Three-Dimensional Bodies

PATENT

Attorney Docket No. 003248.00040

Examiner: Not yet assigned.

Art Unit: 1714

BOX MISSING PARTS Assistant Commissioner for Patents Washington, DC 20231

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

Sir:

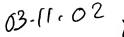
In response to the Notice to File Missing Parts of Non-Provisional Application mailed January 8, 2002, the Applicants hereby submit the enclosed Joint Declaration for Patent Application. A copy of the PTO Notice is also enclosed. Additionally, the fee of \$65.00 is to be charged to Deposit Account No. 01-0850.

Respectfully submitted

BANNER & WITCOFF, LTD.

Dated: March 8, 2002

Rebecca P. Rokos Registration No. 42,109





# CERTIFICATE OF EXPRESS MAIL (PATENT)

Seefor \$

Attorney Docket No. 03248.00040

Express Mail No. EL 820756294 US Deposited March 8, 2002

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under §37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, DC 20231.

Ву:

Mulligan et al., U.S. Patent Application No. 10/005,656 for "Methods and Apparatus for Preparation of Three-Dimensional Bodies"

- Transmittal Form (in duplicate)
- Fee Transmittal (in duplicate)
- Response to Notice to File Missing Parts (1 page)
- Copy of Notice to File Missing Parts (1 page)
- Executed Declaration and Power of Attorney (4 pages)
- Assignment Recordation Coversheet (1 page)
- Executed Assignment (4 pages)
- Return Receipt Postcard